

General information about company

| | |
|--|--|
| Scrip code | 507474 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE991B01010 |
| Name of the company | KOTHARI FERMENTATION AND BIOCHEM LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes | Yes | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 7 | 11237200 | | | 11237200 | 74.91 | 11237200 | | 11237200 | 74.91 |
| (B) | Public | 9709 | 3762800 | | | 3762800 | 25.09 | 3762800 | | 3762800 | 25.09 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 9716 | 15000000 | | | 15000000 | 100 | 15000000 | | 15000000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 74.91 | | | 1500000 | 13.35 | 11237200 |
| (B) | Public | | | | 25.09 | | | | | 2765585 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | 1500000 | 10 | 14002785 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 6 | 8292200 | | | 8292200 | 55.28 | 8292200 | | 8292200 | 55.28 |
| (d) | Any Other (specify) | 1 | 2945000 | | | 2945000 | 19.63 | 2945000 | | 2945000 | 19.63 |
| Sub-Total (A)(1) | | 7 | 11237200 | | | 11237200 | 74.91 | 11237200 | | 11237200 | 74.91 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 7 | 11237200 | | | 11237200 | 74.91 | 11237200 | | 11237200 | 74.91 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 4 | 12800 | | | 12800 | 0.09 | 12800 | | 12800 | 0.09 |
| Sub-Total (B)(1) | | 4 | 12800 | | | 12800 | 0.09 | 12800 | | 12800 | 0.09 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 9537 | 1940457 | | | 1940457 | 12.94 | 1940457 | | 1940457 | 12.94 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 11 | 882622 | | | 882622 | 5.88 | 882622 | | 882622 | 5.88 |
| (e) | Any Other (specify) | 157 | 926921 | | | 926921 | 6.18 | 926921 | | 926921 | 6.18 |
| Sub-Total (B)(3) | | 9705 | 3750000 | | | 3750000 | 25 | 3750000 | | 3750000 | 25 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 9709 | 3762800 | | | 3762800 | 25.09 | 3762800 | | 3762800 | 25.09 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 9716 | 15000000 | | | 15000000 | 100 | 15000000 | | 15000000 | 100 |
| Total (A+B+C) | | 9716 | 15000000 | | | 15000000 | 100 | 15000000 | | 15000000 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 55.28 | | | 1500000 | 18.09 | 8292200 |
| (d) | | | | 19.63 | | | 0 | 0 | 2945000 |
| Sub-Total (A) (1) | | | | 74.91 | | | 1500000 | 13.35 | 11237200 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.91 | | | 1500000 | 13.35 | 11237200 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.09 | | | | | 4100 |
| Sub-Total (B) (1) | | | | 0.09 | | | | | 4100 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 12.94 | | | | | 1121242 |
| (a(ii)) | | | | 5.88 | | | | | 761722 |
| (e) | | | | 6.18 | | | | | 878521 |
| Sub-Total (B) (3) | | | | 25 | | | | | 2761485 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 25.09 | | | | | 2765585 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 14002785 |
| Total (A+B+C) | | | | 100 | | | 1500000 | 10 | 14002785 |

| Individuals/Hindu undivided Family | | | | | | |
|--|----------------|------------------------|----------------------|----------------------------|---------------------|------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | KAVITA KOTHARI | MOTI LAL KOTHARI (HUF) | PRAMOD KUMAR KOTHARI | PRAMOD KUMAR KOTHARI (HUF) | SAMPAT DEVI KOTHARI | SIDDHANT KOTHARI |
| PAN (II) | AFWPK1469A | AABHM7169P | AEJPK6948J | AAIHP7471C | ARAPK2639N | DGNPK1792R |
| No. of fully paid up equity shares held (IV) | 730800 | 301800 | 714200 | 2451100 | 367300 | 3727000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 730800 | 301800 | 714200 | 2451100 | 367300 | 3727000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.87 | 2.01 | 4.76 | 16.34 | 2.45 | 24.85 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 730800 | 301800 | 714200 | 2451100 | 367300 | 3727000 |
| Class eg:y | | | | | | |
| Total | 730800 | 301800 | 714200 | 2451100 | 367300 | 3727000 |
| Total as a % of Total Voting rights | 4.87 | 2.01 | 4.76 | 16.34 | 2.45 | 24.85 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 4.87 | 2.01 | 4.76 | 16.34 | 2.45 | 24.85 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | 0 | 0 | 0 | 1500000 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 61.2 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 730800 | 301800 | 714200 | 2451100 | 367300 | 3727000 |
| Reason for not providing PAN | | | | | | |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |
| Shareholder type | | | | | | |

Individuals/Hindu undivided Family

| | |
|--|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 8292200 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 8292200 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 55.28 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 8292200 |
| Class eg:y | |
| Total | 8292200 |
| Total as a % of Total Voting rights | 55.28 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 55.28 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | 1500000 |
| As a % of total Shares held (b) | 18.09 |
| Number of equity shares held in dematerialized form (XIV) | 8292200 |
| Reason for not providing PAN | |

| | |
|------------------------------|--|
| Reason for not providing PAN | |
| Shareholder type | |

| Any Other (specify) | | |
|--|--|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | CHAUDHRY BROTHERS TRADERS AND BUILDERS PRIVATE LIMITED | |
| PAN (II) | AAACC4810H | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 2945000 | 2945000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2945000 | 2945000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 19.63 | 19.63 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 2945000 | 2945000 |
| Class eg:y | | |
| Total | 2945000 | 2945000 |
| Total as a % of Total Voting rights | 19.63 | 19.63 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 19.63 | 19.63 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 |

| | | |
|---|---------|---------|
| Number of equity shares held in dematerialized form (XIV) | 2945000 | 2945000 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|--|-------------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | DEEPINDER SINGH POONIAN | Click here to go back |
| PAN (II) | ABIPP4724F | Total |
| No. of fully paid up equity shares held (IV) | 226715 | 226715 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 226715 | 226715 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.51 | 1.51 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 226715 | 226715 |
| Class eg:y | | |
| Total | 226715 | 226715 |
| Total as a % of Total Voting rights | 1.51 | 1.51 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.51 | 1.51 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 226715 | 226715 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | | | |
|--|----------------------------------|----------|------------------|---------------------------|------------------|--|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | Director or Director's Relatives | HUF | Clearing Members | Non-Resident Indian (NRI) | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | | | | | | GLOCOM IMPEX PVT LTD |
| PAN (II) | | | | | | AAACG9412H |
| No. of the Shareholders (I) | 4 | 75 | 8 | 27 | 43 | 1 |
| No. of fully paid up equity shares held (IV) | 1800 | 72171 | 1505 | 20621 | 830824 | 743500 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1800 | 72171 | 1505 | 20621 | 830824 | 743500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.01 | 0.48 | 0.01 | 0.14 | 5.54 | 4.96 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 1800 | 72171 | 1505 | 20621 | 830824 | 743500 |
| Class eg:y | | | | | | |
| Total | 1800 | 72171 | 1505 | 20621 | 830824 | 743500 |
| Total as a % of Total Voting rights | 0.01 | 0.48 | 0.01 | 0.14 | 5.54 | 4.96 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.01 | 0.48 | 0.01 | 0.14 | 5.54 | 4.96 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 72171 | 1505 | 20621 | 784224 | 743500 |

| Reason for not providing PAN | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |

| Any Other (specify) | |
|--|-----------------------|
| Serial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 157 |
| No. of fully paid up equity shares held (IV) | 926921 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 926921 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.18 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 926921 |
| Class eg:y | |
| Total | 926921 |
| Total as a % of Total Voting rights | 6.18 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 6.18 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 878521 |
| Reason for not providing PAN | |

| | |
|------------------------------|--|
| Reason for not providing PAN | |
|------------------------------|--|

